



The tool that knocks your SOX off!

- Relieve SOX documentation pains with electronic workpapers
- Reduce compliance efforts with automated workflow and status updates
- Impress the CFO with dashboards and key metrics

“SoxRox™ has enabled us to effectively manage our SOX costs while increasing our auditor's reliance on the work of others. Moreover, my team is able to quickly check the status of our 404 efforts and monitor results against budget.” - David Bernstein, Senior Vice President, Finance, CBS Interactive

After years of SOX, how can you cut costs and boost effectiveness?

COSTS

- Manage budgets and deploy resources based on real-time status updates
- Control auditor fees with high-quality workpapers and deliverables
- Save time and effort with a single point of data entry
- Set up a web-based solution immediately with no investment in hardware or software
- Use templates to scale for international operations
- Subscribe at a low monthly price

- Demonstrate SOX progress to the Audit Committee and senior management with dashboards and key metrics
- Tailor your solution to the way you manage SOX
- Generate custom management reports
- Track outstanding issues and resolutions in real time
- Access information from anywhere
- Support global companies with complex processes

EFFECTIVENESS

SoxRox™ Flow of Information

INPUTS



- Documents
- Spreadsheets



- Centralized and secured database
- Customizable fields and layout



OUTPUTS

- DASHBOARDS
- CONTROL MATRICES
- NARRATIVES
- MANAGEMENT REPORTS
- WORKPAPERS
- AUTOMATED NOTIFICATIONS
- CLIENT INFORMATION REQUESTS
- ISSUES TRACKING LOGS

Leadsheet

SoxRox Control
CH- COP 07
Printable View | Customize Page | Help for this Page ?

< Back to List: SoxRoxControls

SoxRoxControl History [4]

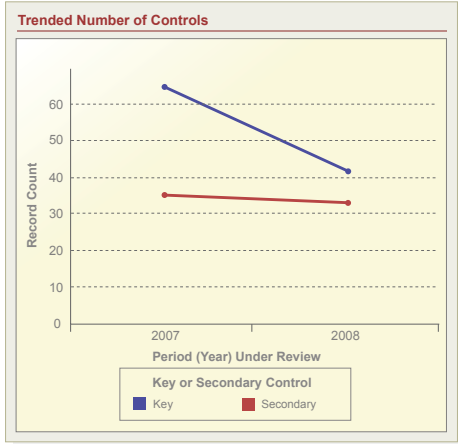
SoxRoxControl Detail Save Cancel

<p>Control Number CH- COP 07</p> <p>Client Name ? LuckyMe</p> <p>Location ? China</p> <p>Process Name ? COP- Computer Operation</p> <p>System Name ? Oracle</p> <p>Control Status ? Not Started</p> <p>Step 1: Document Process</p> <p>Control Description ? Control Description Ready for Review</p> <p>Control Owner ? Control Description Review Completed</p> <p>Step 2: Document Control</p> <p>Control Objective ? Test of Design Ready for Review</p> <p>Safeguard Asset Control ? Test of Design Review Completed</p> <p>Anti-Fraud Control ? Workprogram Ready for Review</p> <p>System of Manual Control ? Workprogram Review Completed</p> <p>Relevant Balance Sheet Caption(s) ? Info Request Ready for Review</p> <p>Relevant Income Statement Captions(s) ? Info Request Review Completed</p> <p>Relevant COSO Component(s) ? Info Request Sent</p> <p>Relevant Fin Statement Assertion(s) ? Infor Request Received</p>	<p>Owner Kim Le [Change]</p> <p>Assigned Auditor ? Rachel Fu</p> <p>Assigned Reviewer ? Kim Le</p> <p>Period (Quarter) Under Review ? Q1</p> <p>Period (Year) Under Review ? 2008</p> <p>Control in Place Date ? 6/1/2007</p> <p>Control Frequency ? Semi-annually</p> <p>Key or Secondary Control ? Secondary</p> <p>Prevent or Detect Control ? Detect</p> <p>Compensating Control Number, if any</p> <p>Duplicate Control Number, if any</p> <p>Prior Year Control Number, if any</p>
--	--

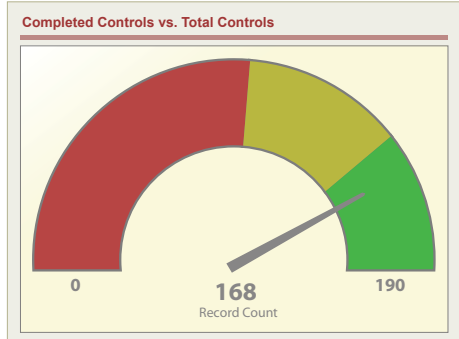
Control Description Review Completed annually. The process and test results are documented.

If the Audit Committee or CFO asked you for real-time metrics like these *right now*, could you do it?

Key & Secondary Controls Year over Year



Number of Controls to Completion



Control Status

Control Status	Record Count
Not Started	5
No Testing Required	29
Control Description Ready for Review	2
Control Description Review Completed	4
Test of Design Ready for Review	14
Test of Design Review Completed	12
Workprogram Ready for Review	9
Workprogram Review Completed	10
Info Request Ready for Review	1
Info Request Review Completed	9
Info Request Sent	10
Info Request Received	9
Testing Started	9
Testing Ready for Review	9
Review Completed - No Findings	42
Review Completed - Findings	6
Remediation in Process	5
Finding Closed	5